

# Global Optimization

# Lecture Outline

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- Global flow analysis
- Global constant propagation
- Global dead code elimination
- Liveness analysis

# Local Optimization

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Recall the simple basic-block optimizations:

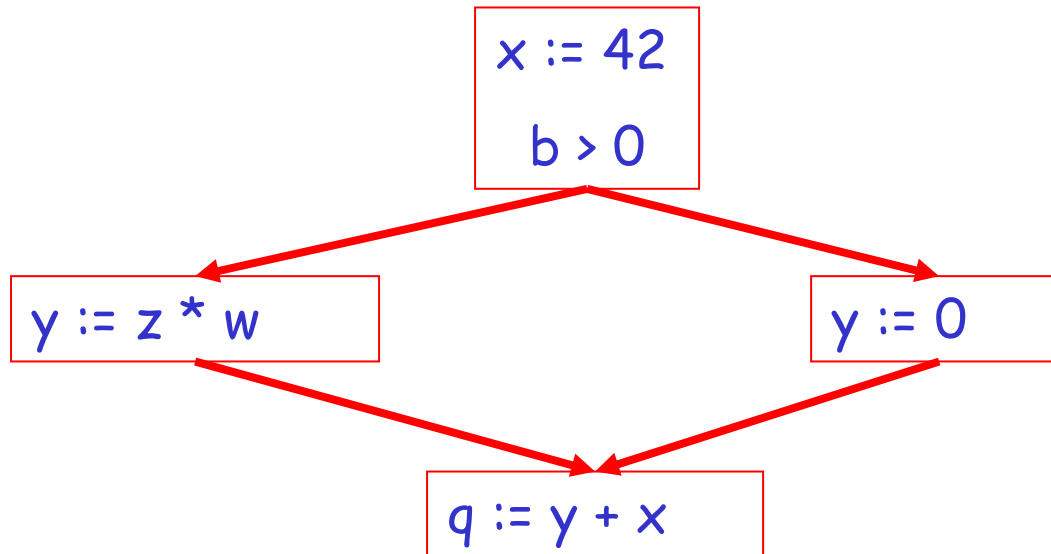
- Constant propagation.
- Dead code elimination.

|              |   |               |   |               |
|--------------|---|---------------|---|---------------|
| $x := 42$    |   | $x := 42$     |   | $x := 42$     |
| $y := z * w$ |  | $y := z * w$  |  | $y := z * w$  |
| $q := y + x$ |   | $q := y + 42$ |   | $q := y + 42$ |

# Global Optimization

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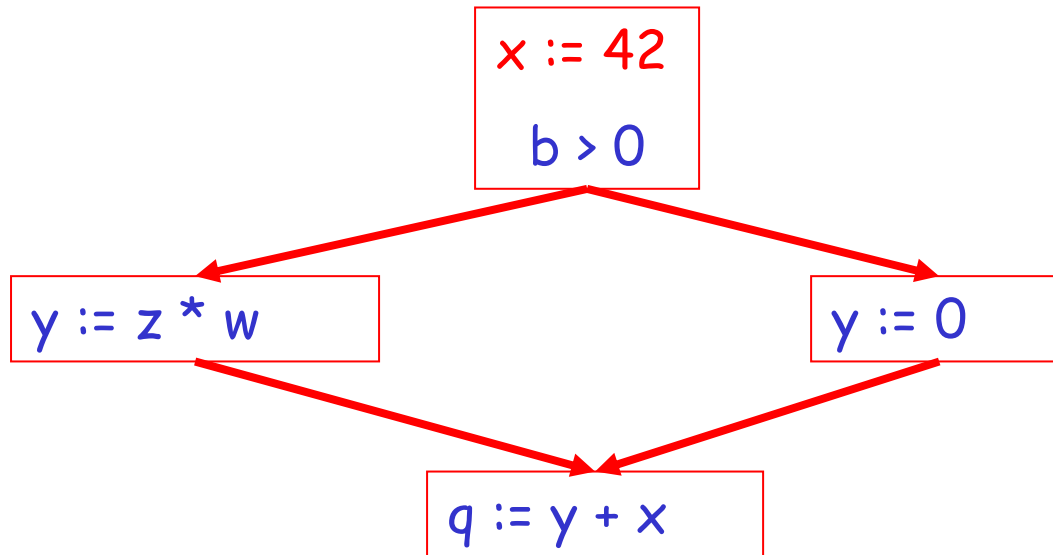
These optimizations can be extended to an entire control-flow graph.



# Global Optimization

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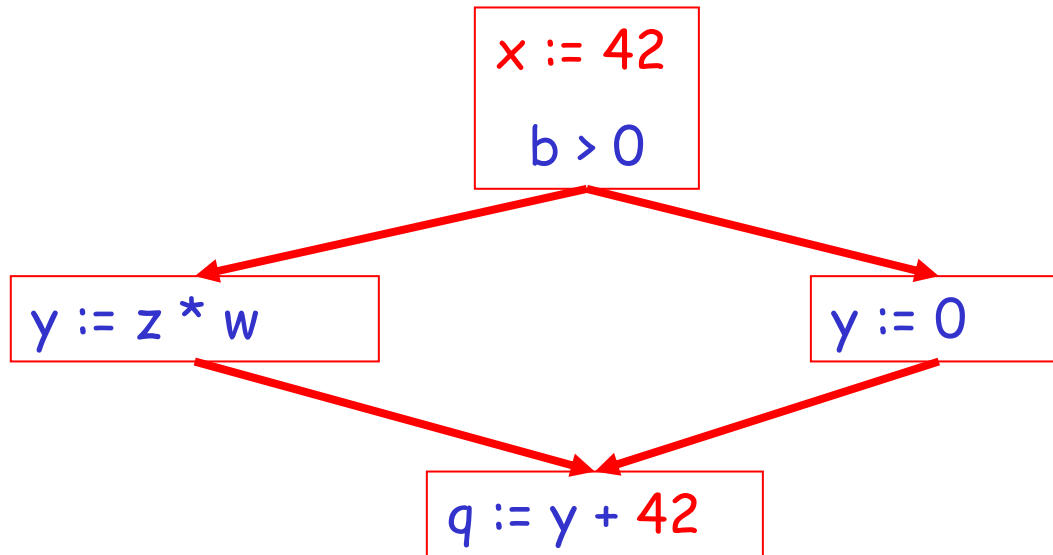
These optimizations can be extended to an entire control-flow graph.



# Global Optimization

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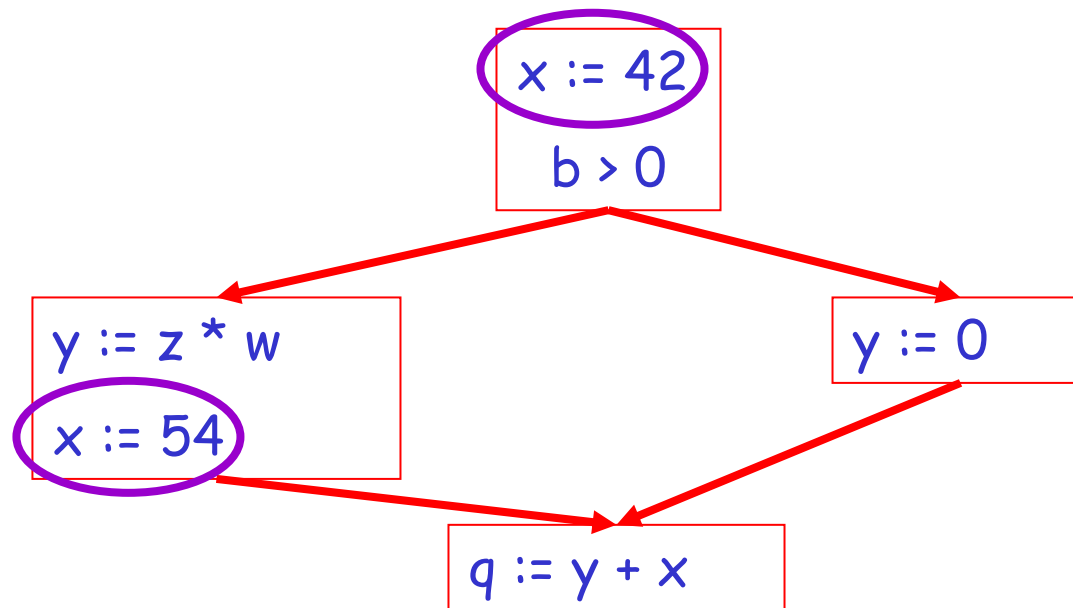
These optimizations can be extended to an entire control-flow graph.



# Correctness

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- How do we know whether it is OK to globally propagate constants?
- There are situations where it is incorrect:



## Correctness (Cont.)

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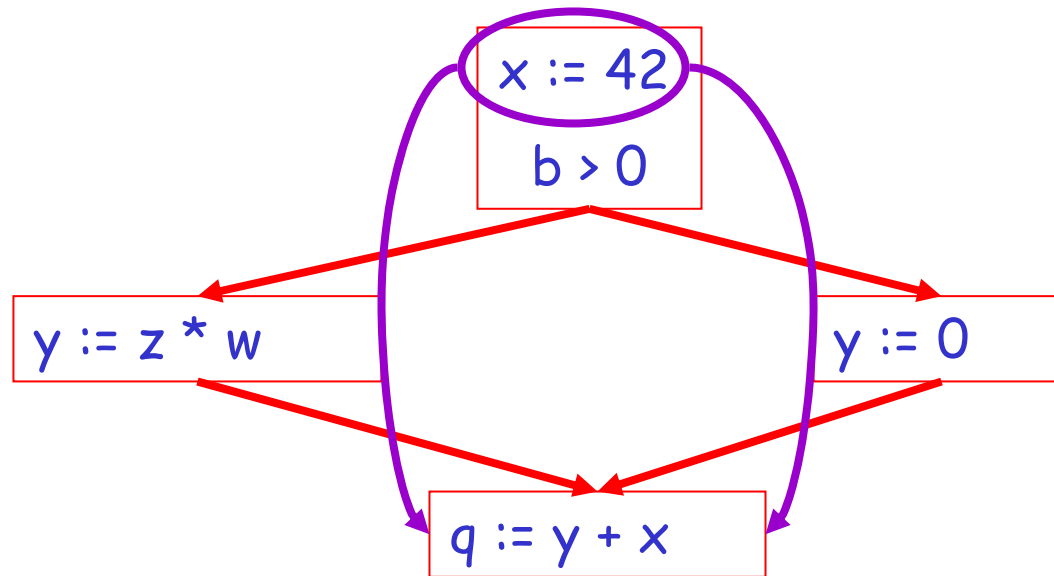
To replace a use of  $x$  by a constant  $k$  we must know that the following property  $**$  holds:

*On every path to the use of  $x$ ,  
the last assignment to  $x$  is  $x := k$   $**$*



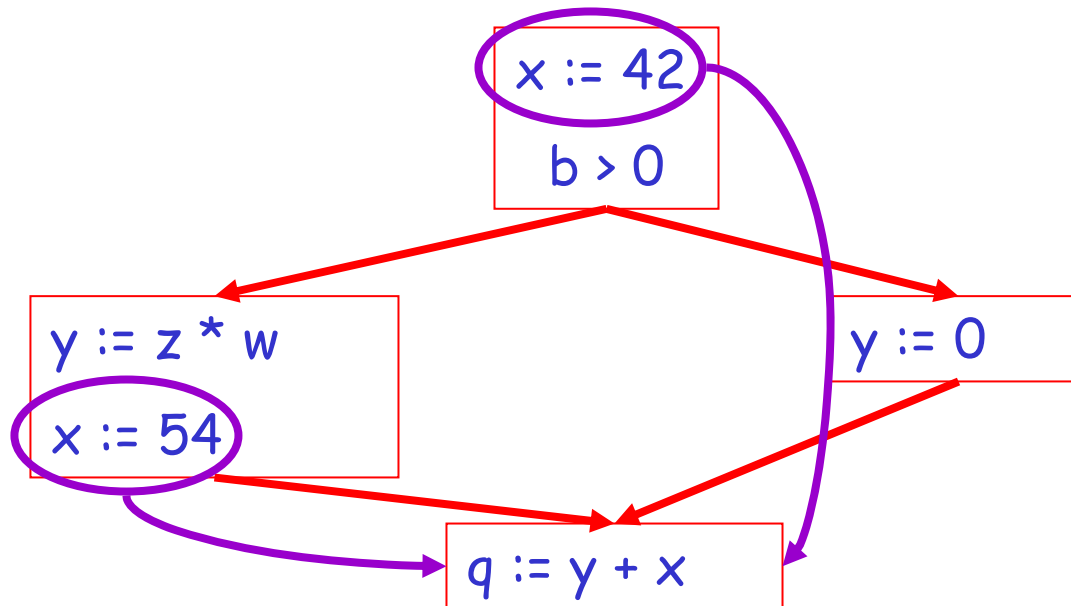
# Example 1 Revisited

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# Example 2 Revisited

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# Discussion

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- The correctness condition is not trivial to check.
- “All paths” includes paths around loops and through branches of conditionals.
- Checking the condition requires *global analysis*.
  - An analysis that determines how data flows over the entire control-flow graph of a function/method.

# Global Analysis

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Global optimization tasks share several traits:

- The optimization depends on knowing a property  $P$  at a particular point in program execution.
- Proving  $P$  at any point requires knowledge of the entire function body.
- Property  $P$  is typically undecidable !
- It is OK to be conservative: If the optimization requires  $P$  to be true, then we want an analysis which tells us:
  - that  $P$  is definitely true, or
  - that we don't know whether  $P$  is true.
- It is always safe to say "don't know".  
(But goal is to try to say "don't know" as rarely as possible.)

## Global Analysis (Cont.)

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- *Global dataflow analysis* is a standard technique for solving problems with these characteristics.
- Global constant propagation is one example of an optimization that requires global dataflow analysis.

# Global Constant Propagation

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- *On every path to the use of  $x$ , the last assignment to  $x$  is  $x := k$  **\*\****
- Global constant propagation can be performed at any point where property **\*\*** holds.
- Consider the case of computing **\*\*** for a single variable  $x$  at all program points.

## Global Constant Propagation (Cont.)

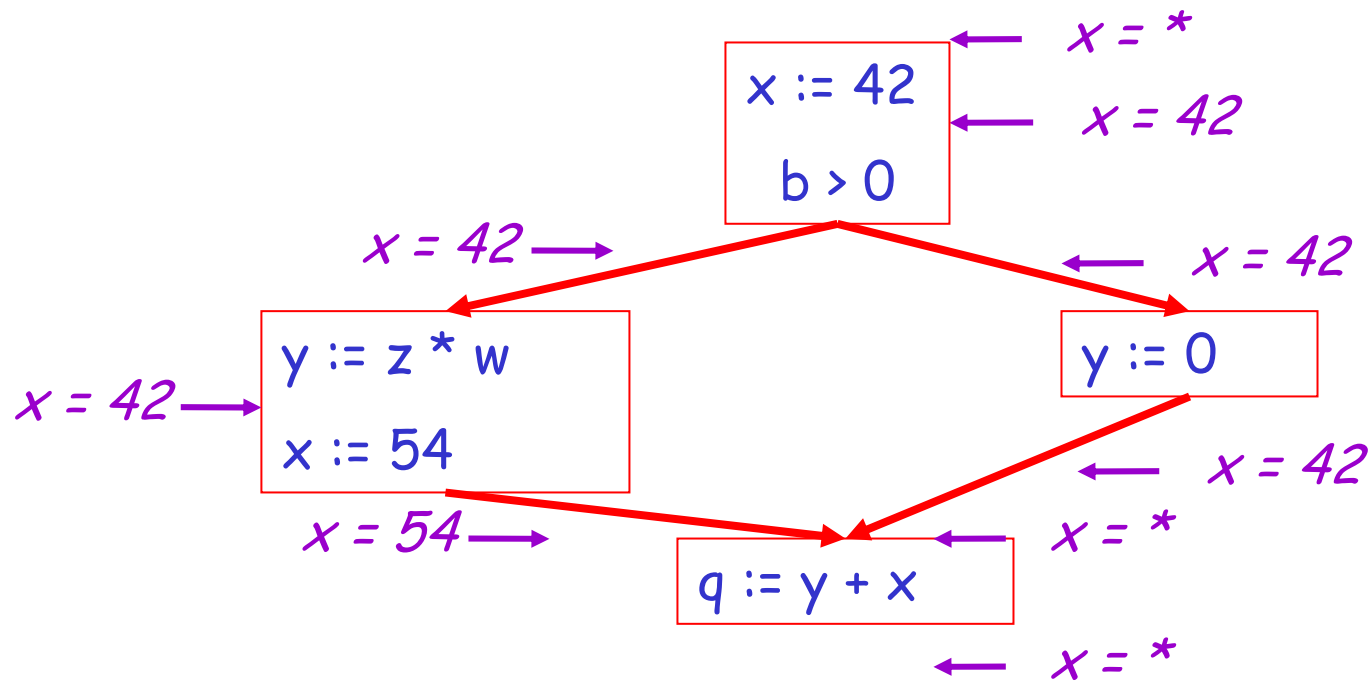
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- To make the problem precise, we associate one of the following values with  $x$  at every program point:

| <i>value</i> | <i>interpretation</i>                |
|--------------|--------------------------------------|
| #            | This statement never executes        |
| $c_i$        | $x = \text{constant } c_i$           |
| *            | Don't know whether $x$ is a constant |

# Example

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# Using the Information

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- Given global constant information, it is easy to perform the optimization.
  - Simply inspect the  $x = ?$  associated with a statement using  $x$ .
  - If  $x$  is a constant  $k$  at that point replace that use of  $x$  by  $k$ .
- But how do we compute the properties  $x = ?$

# The Analysis Idea

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*The analysis of a (complicated) program can be expressed as a combination of simple rules relating the change in information between adjacent statements.*

# Explanation

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- The idea is to “push” or “transfer” information from one statement to the next.
- For each statement  $s$ , we compute information about the value of  $x$  immediately before and after  $s$

$C_{in}(x,s)$  = value of  $x$  before  $s$

$C_{out}(x,s)$  = value of  $x$  after  $s$

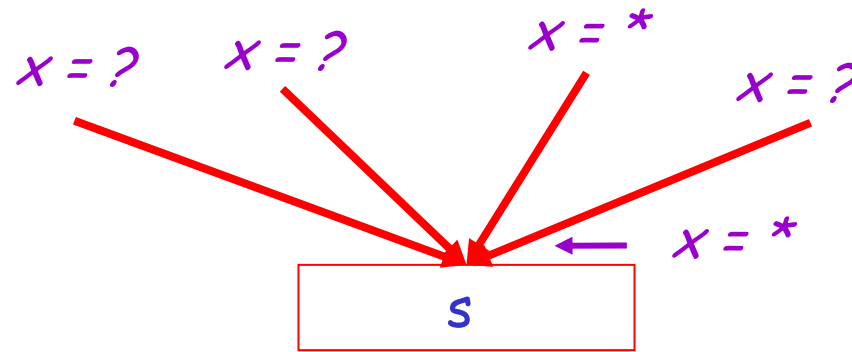
# Transfer Functions

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- Define a transfer function that transfers information from one statement to another.
- In the following rules, let statement  $s$  have as immediate predecessors statements  $p_1, \dots, p_n$ .

# Rule 1

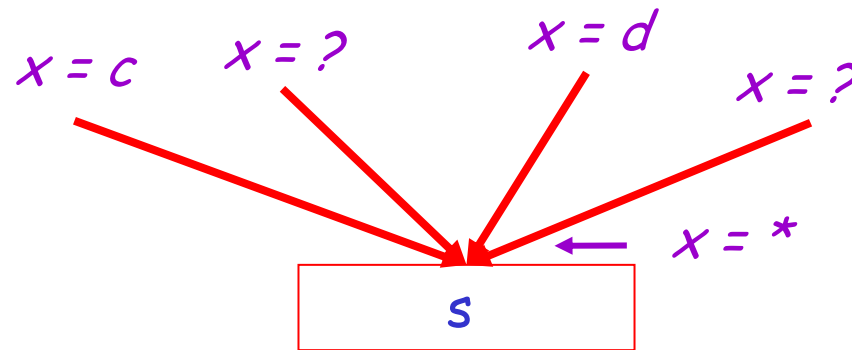
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If  $C_{\text{out}}(x, p_i) = *$  for any  $i$ , then  $C_{\text{in}}(x, s) = *$

## Rule 2

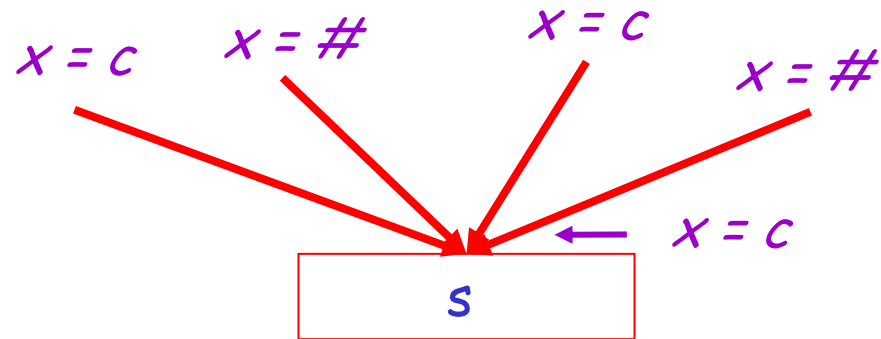
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If  $C_{out}(x, p_i) = c$  and  $C_{out}(x, p_j) = d$  and  $d \neq c$   
then  $C_{in}(x, s) = *$

# Rule 3

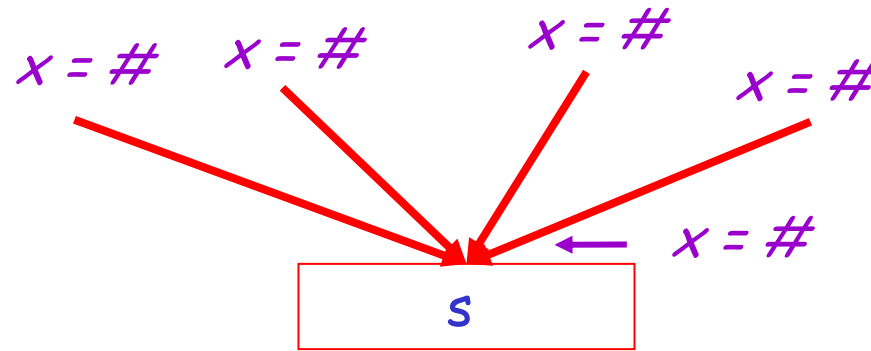
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If  $C_{\text{out}}(x, p_i) = c$  or  $\#$  for all  $i$ ,  
then  $C_{\text{in}}(x, s) = c$

# Rule 4

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If  $C_{\text{out}}(x, p_i) = \#$  for all  $i$ ,  
then  $C_{\text{in}}(x, s) = \#$



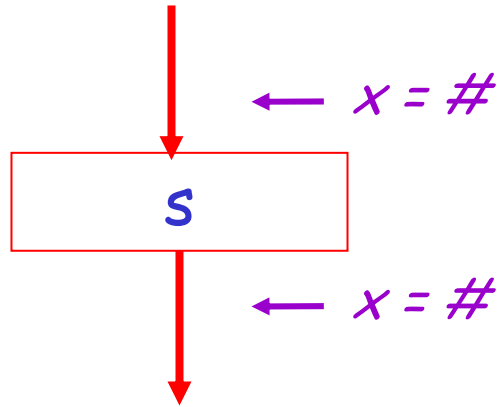
# The Other Half

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- Rules 1-4 relate the *out* of one statement to the *in* of the successor statement.
  - I.e., they propagate information forward across CFG edges.
- We also need rules relating the *in* of a statement to the *out* of the same statement.
  - To propagate information across statements.

# Rule 5

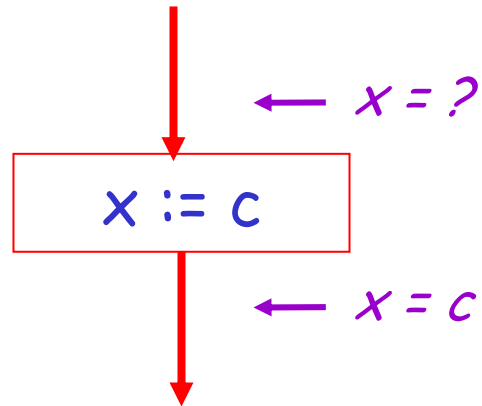
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$$C_{\text{out}}(x, s) = \# \text{ if } C_{\text{in}}(x, s) = \#$$

# Rule 6

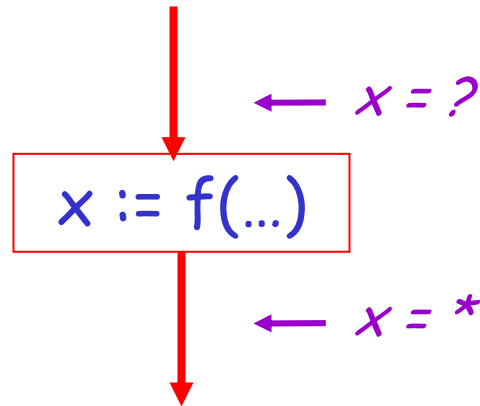
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$C_{\text{out}}(x, x := c) = c$  if  $c$  is a constant

# Rule 7

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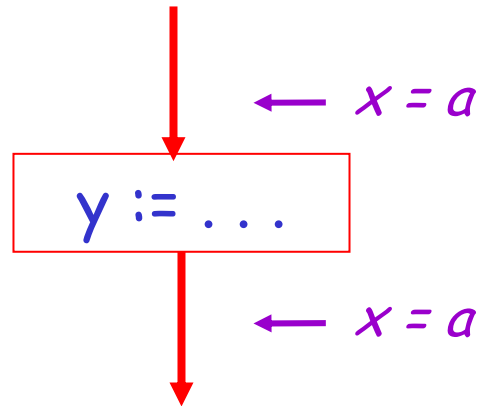
where `f` is a function other than the one being analyzed

$$C_{\text{out}}(x, x := f(\dots)) = *$$

This rule says that we do not perform inter-procedural analysis (i.e., we do not look at what other functions do).

# Rule 8

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$$C_{\text{out}}(x, y := \dots) = C_{\text{in}}(x, y := \dots) \text{ if } x \neq y$$

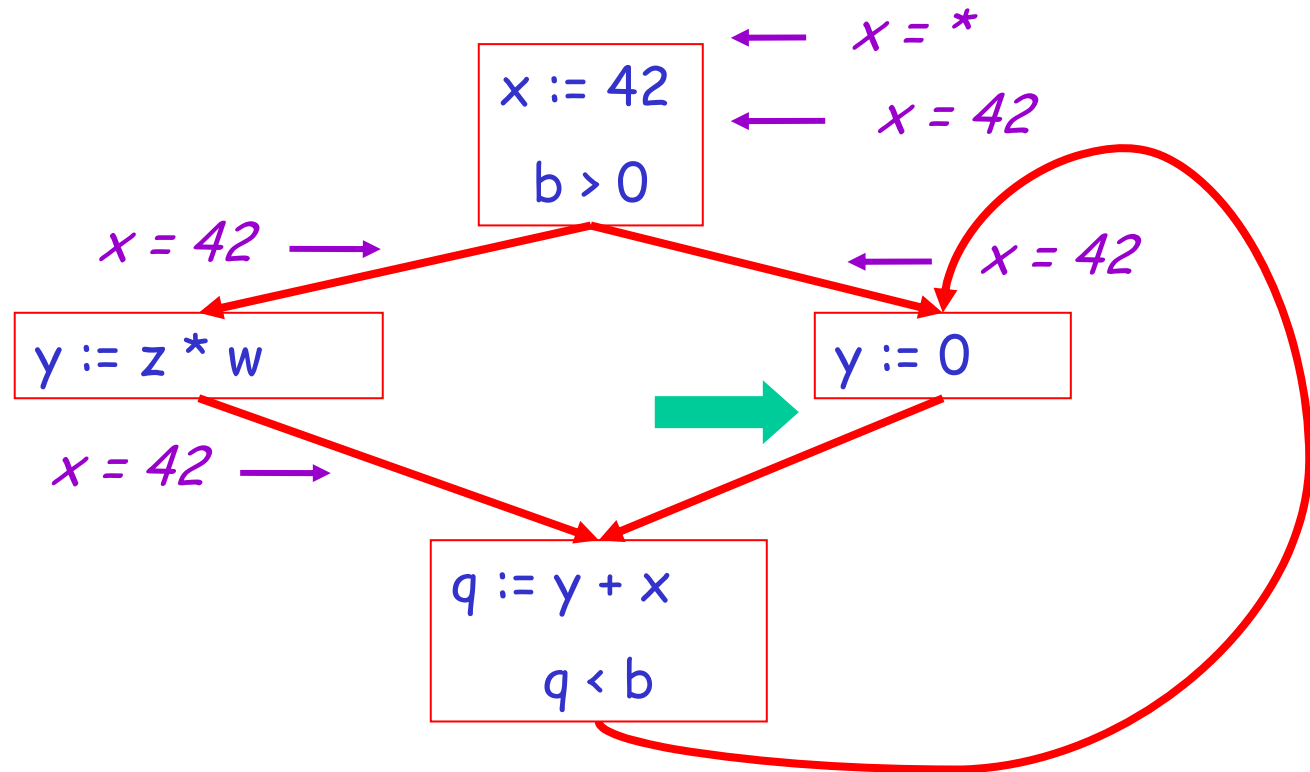
# An Algorithm

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1. For every entry  $s$  to the function, set  $C_{in}(x, s) = *$
2. Set  $C_{in}(x, s) = C_{out}(x, s) = \#$  everywhere else
3. Repeat until all points satisfy 1-8:
  - pick an  $s$  not satisfying 1-8 and
  - update using the appropriate rule

# The Value #

To understand why we need #, look at a loop



## Discussion

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- Consider the statement  $y := 0$
- To compute whether  $x$  is constant at this point, we need to know whether  $x$  is constant at its two predecessors
  - $x := 42$
  - $q := y + x$
- But information for  $q := y + x$  depends on its predecessors, including  $y := 0$  !



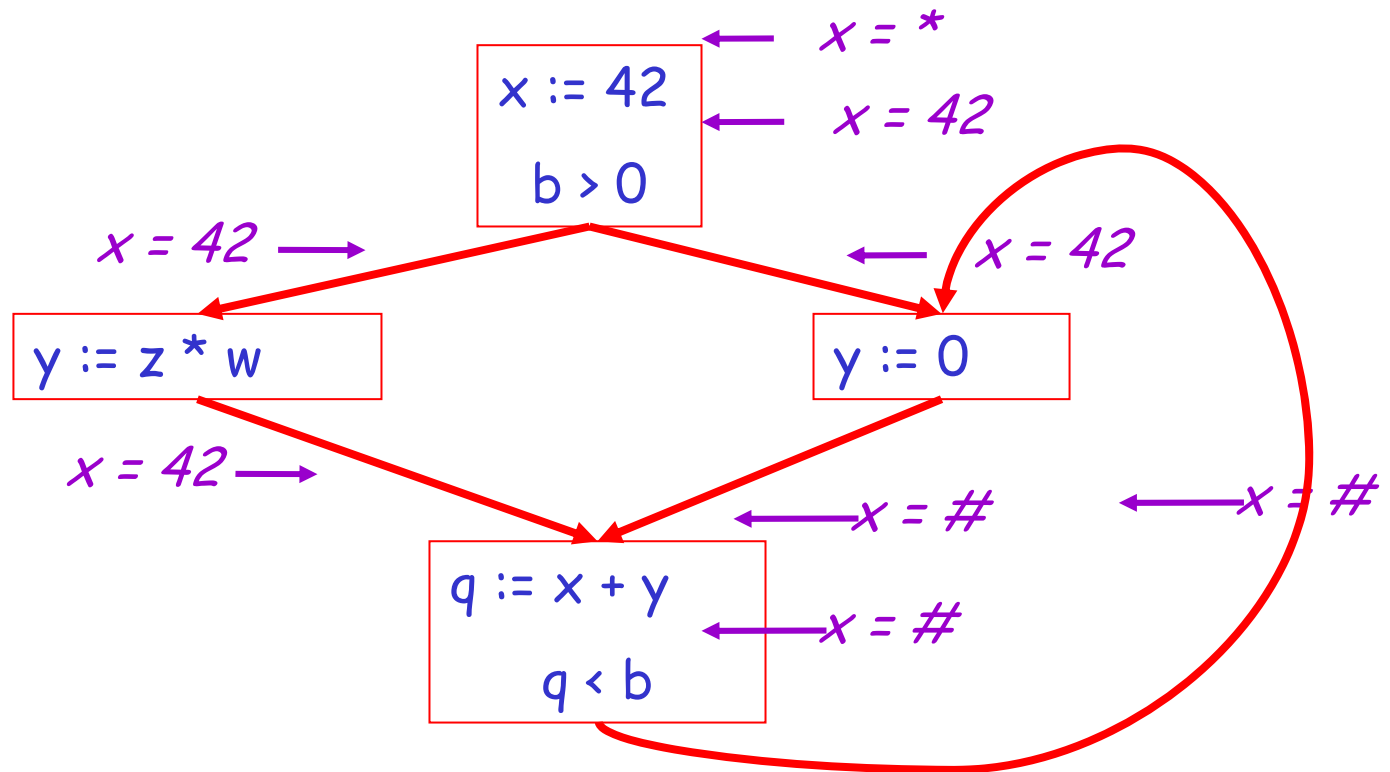
## The Value # (Cont.)

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- Because of cycles, all points must have values at all times.
- Intuitively, assigning some initial value allows the analysis to break cycles.
- The initial value # means "So far as we know, control never reaches this point".

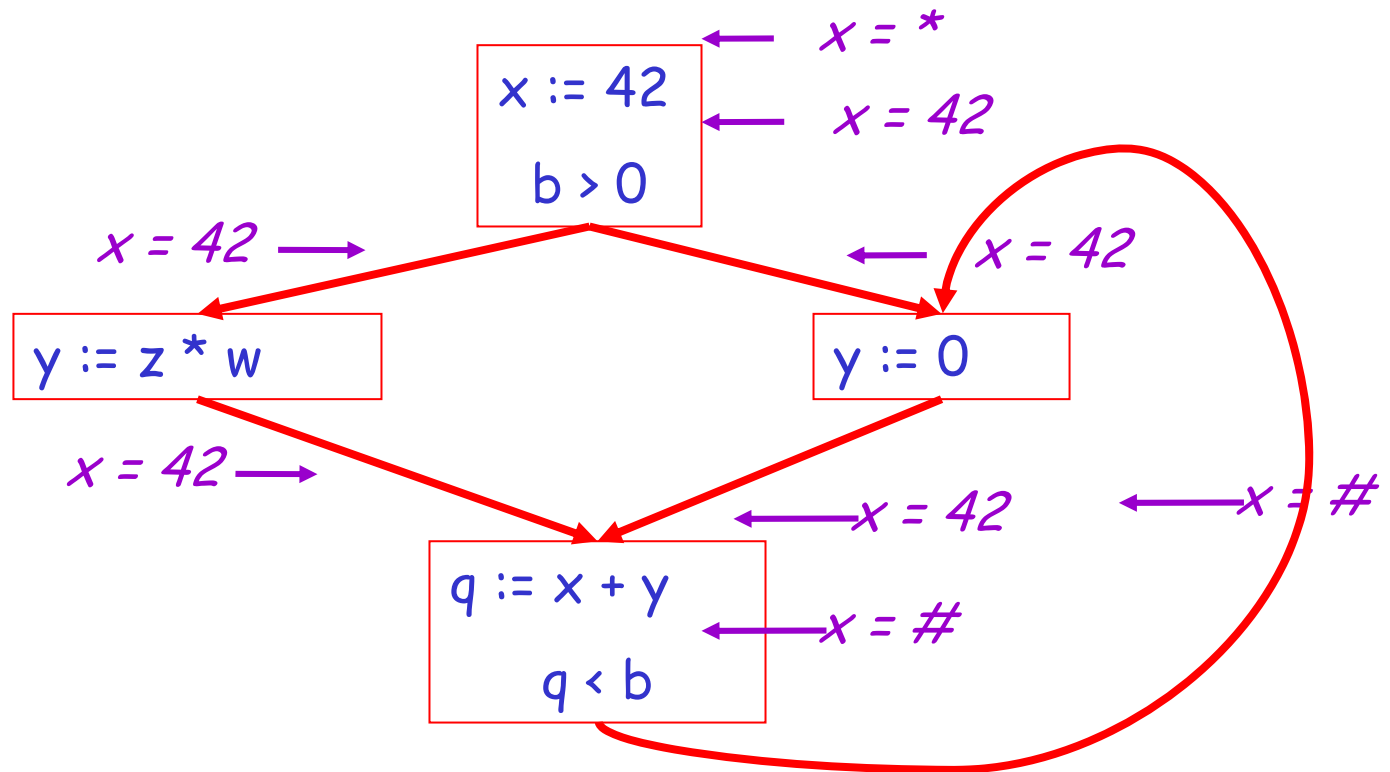
# Example

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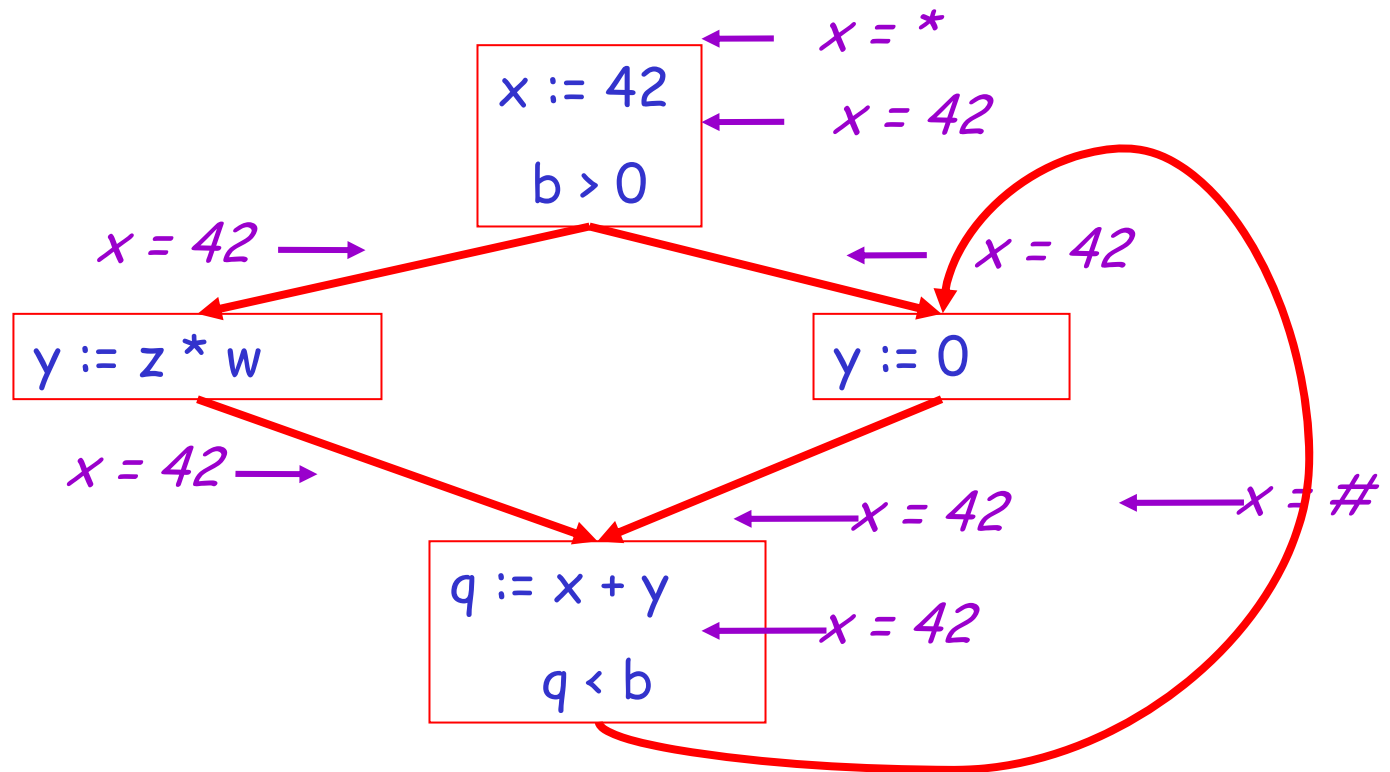
# Example

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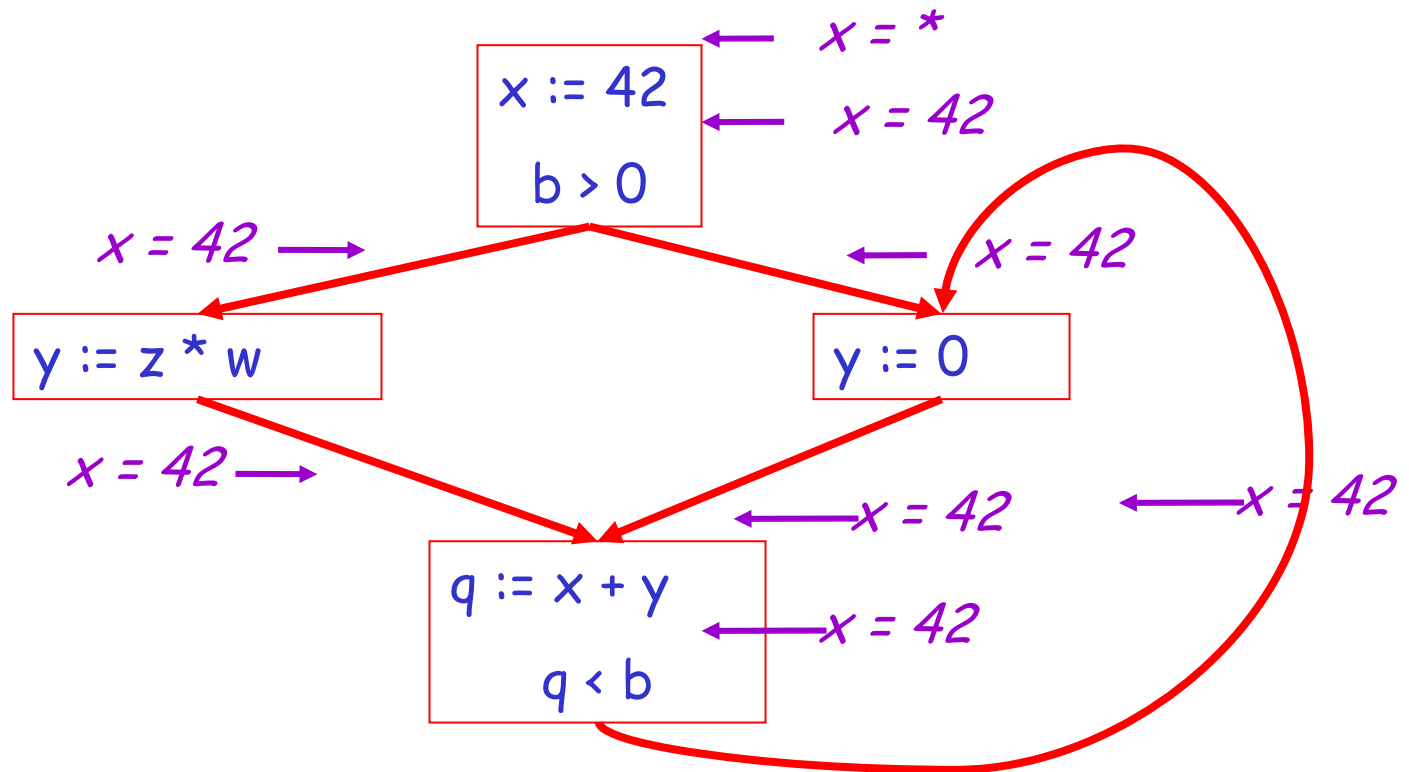
# Example

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# Example

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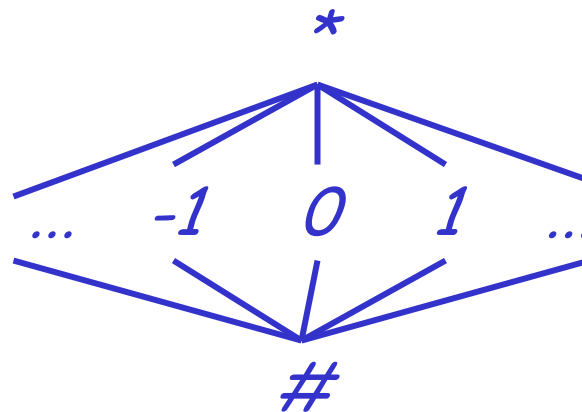
# Orderings

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- We can simplify the presentation of the analysis by ordering the values

$$\# < c_i < *$$

- Drawing a picture with "lower" values drawn lower, we get



## Orderings (Cont.)

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- \* is the greatest value, # is the least.
  - All constants are in between and incomparable.
- Let **lub** be the least-upper bound in this ordering.
- Rules 1-4 can be written using lub:  
$$C_{in}(x, s) = \text{lub} \{ C_{out}(x, p) \mid p \text{ is a predecessor of } s \}$$

# Termination

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- Simply saying "repeat until nothing changes" does not guarantee that eventually we reach a point where nothing changes.
- The use of lub explains why the algorithm terminates.
  - Values start as # and only *increase*.
  - # can change to a constant, and a constant to \*
  - Thus,  $C_(x, s)$  can change at most twice.



## Termination (Cont.)

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Thus, the algorithm is linear in program size.

Number of steps = // worst case

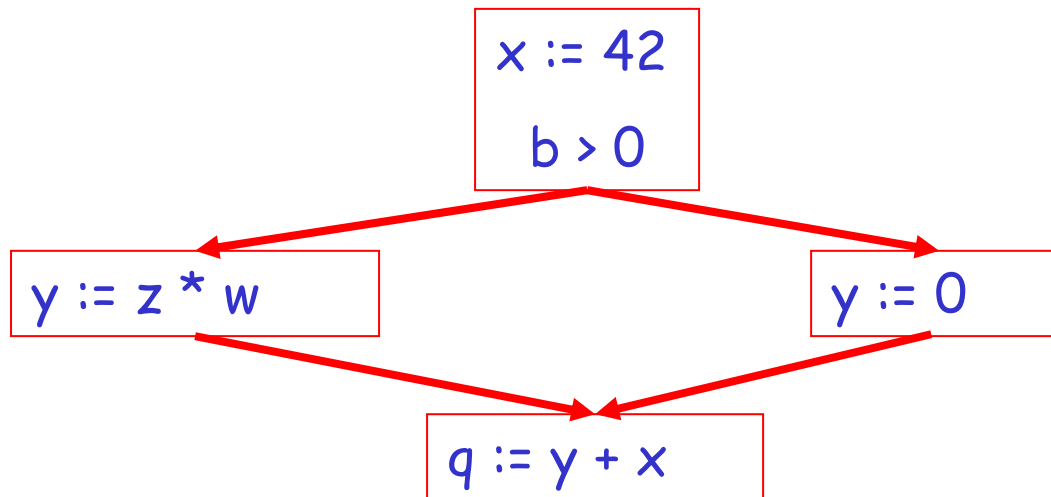
Number of  $C_{\dots}$  values computed \* 2 =

Number of program statements \* 4

# Liveness Analysis

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Once constants have been globally propagated, we would like to eliminate dead code.

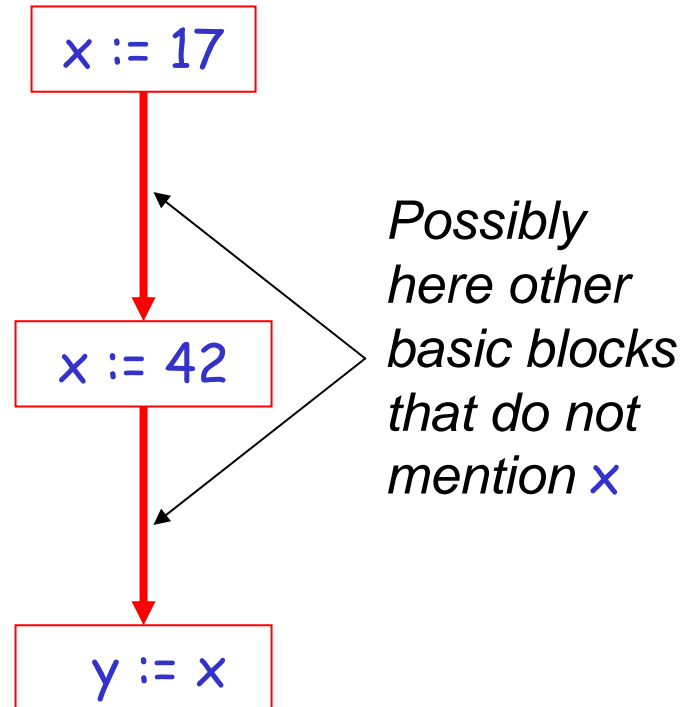


*After constant propagation, `x := 42` is dead (assuming `x` is not used elsewhere).*

# Live and Dead Variables

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- The first value of  $x$  is *dead* (never used).
- The second value of  $x$  is *live* (may be used).
- Liveness is an important concept for the compiler.



# Liveness

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A variable  $x$  is live at statement  $s$  if

- There exists a statement  $s'$  that uses  $x$
- There is a path from  $s$  to  $s'$
- That path has no intervening assignment to  $x$

# Global Dead Code Elimination

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- A statement  $x := \dots$  is dead code if  $x$  is dead after the assignment.
- Dead statements can be deleted from the program.
- But we need liveness information first . . .

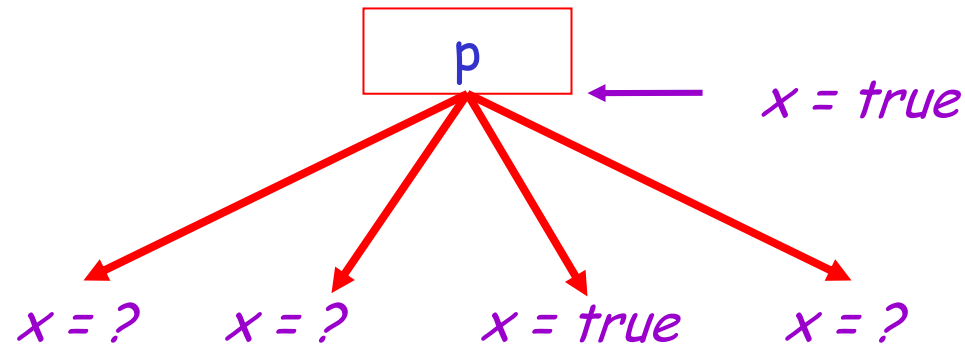
# Computing Liveness

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- We can express liveness in terms of information transferred between adjacent statements, just as in constant propagation.
- Liveness is simpler than constant propagation, since it is a boolean property (true or false).

# Liveness Rule 1

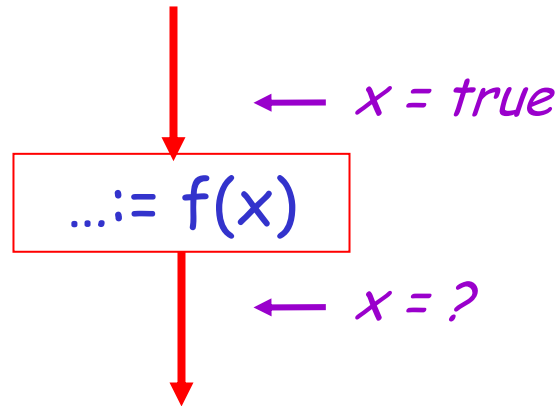
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$$L_{out}(x, p) = \bigvee \{ L_{in}(x, s) \mid s \text{ a successor of } p \}$$

## Liveness Rule 2

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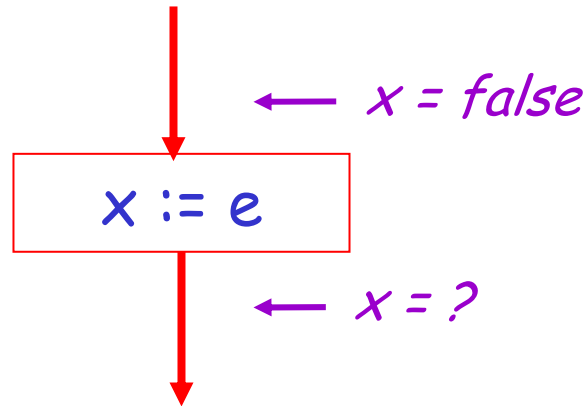


$L_{in}(x, s) = \text{true}$  if  $s$  refers to  $x$  on the RHS



# Liveness Rule 3

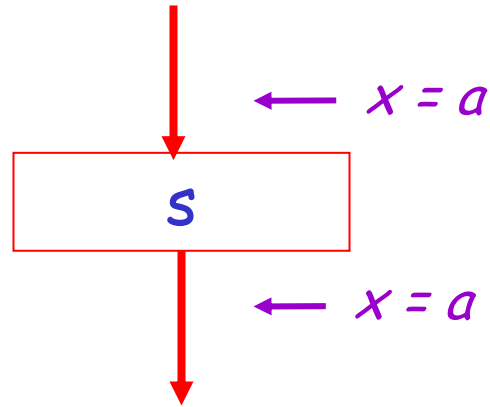
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$L_{in}(x, x := e) = \text{false}$  if  $e$  does not refer to  $x$

# Liveness Rule 4

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$L_{in}(x, s) = L_{out}(x, s)$  if  $s$  does not refer to  $x$

# Algorithm

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1. Let all  $L\_s(\dots) = \text{false}$  initially
2. Repeat until all statements  $s$  satisfy rules 1-4
  - pick an  $s$  where one of 1-4 does not hold and
  - update using the appropriate rule

# Termination

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- Each  $L_...$  value can change from **false** to **true**, but not the other way around.
- Each  $L_...$  value can change only once, so termination is guaranteed.
- Once the analysis information is computed, it is simple to eliminate dead code.

# Forward vs. Backward Analysis

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We have seen two kinds of analysis:

- An analysis that enables constant propagation:
  - This is a *forwards* analysis: information is pushed from inputs to outputs.
- An analysis that calculates variable liveness:
  - This is a *backwards* analysis: information is pushed from outputs back towards inputs.

# Global Flow Analyses

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- There are many other global flow analyses.
- Most can be classified as either forward or backward.
- Most also follow the methodology of local rules relating information between adjacent program points.